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PTO/SB/30 (08-03)

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REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Address to:
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| | |
|------------------------|-----------------|
| Application Number | 09/856,209 |
| Filing Date | May 18, 2001 |
| First Named Inventor | Kozo Nakamura |
| Art Unit | 1765 |
| Examiner Name | Matthew J. Song |
| Attorney Docket Number | 82822 |

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.

Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

- Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).
 - ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
 - ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
 - ☐ Other _____
 - ☒ Enclosed
 - ☒ Amendment/Reply
 - ☐ Affidavit(s)/Declaration(s)
 - ☐ Information Disclosure Statement (IDS)
 - ☒ Other Pet for Ext of Time; postcard
- Miscellaneous**
 - ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
 - ☐ Other _____
- Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
 - ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 23-0920
 - ☐ RCE fee required under 37 CFR 1.17(e) : 03/23/2004 YPOLITE1 00000078 09856209
 - ☐ Extension of time fee (37 CFR 1.136 and 1.17) : 01 FC:1801
 - ☐ Other _____ 770.00 OP
 - ☒ Check in the amount of \$ 1,190.00 enclosed
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

| | | | |
|---------------------|----------------------------|-------------------------------------|----------------|
| Name (Print / Type) | Gerald T. Shekleton | Registration No. (Attorney / Agent) | 27,466 |
| Signature | <i>Gerald T. Shekleton</i> | Date | March 19, 2004 |

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

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| Name (Print / Type) | Gerald T. Shekleton | Date | March 19, 2004 |
| Signature | <i>Gerald T. Shekleton</i> | | |

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent Application

Applicant: Kozo Nakamura

Serial No.: 09/856,209

Filed: May 18, 2001

For: **SILICON CRYSTAL AND
PRODUCTION METHOD FOR
SILICON SINGLE CRYSTAL
WAFER**

Examiner: Matthew J. Song

) Art Unit: 1765

)

) Confirmation No.: 6736

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) *Gerald T. Shekleton Reg. No. 27,466*

AMENDMENT

Mail Stop RCE
Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

Sir:

The Office Action of October 20, 2003 and the Advisory Action of February 20, 2004 have been carefully reviewed and the following amendments and remarks are made in response thereto.